

Documents required in NCD Bonds

➤ **Applications by Mutual Funds (Page no 48 Trench 1)**

The applications must be also accompanied by certified true copies of

- (i) Self-attested copy of the PAN card;
- (ii) SEBI Registration Certificate and trust deed
- (iii) The trust deed in respect of such mutual fund
- (iv) resolution authorising investment and containing operating instructions and
- (v) specimen signatures of authorised signatories.

➤ **Applications by Alternative Investments Funds (Page no 48 Trench 1)**

The applications must be accompanied by certified copies of

- (i) Self-attested copy of the PAN card;
- (ii) the SEBI registration certificate of such Alternative Investment Fund;
- (iii) a resolution authorising the investment and containing operating instructions;
- (iv) specimen signatures of authorised persons

➤ **Application by Scheduled Commercial Banks (Page no 49 Trench 1)**

The applications must be also accompanied by certified true copies of

- (i) Self-attested copy of the PAN card;
- (ii) memorandum and articles of association/charter of constitution
- (iii) power of attorney
- (iv) resolution authorising investments/containing operating instructions
- (v) specimen signatures of authorised signatories

➤ **Application by Insurance Companies (Page no 49 Trench 1)**

The applications must be accompanied by certified copies of

- (i) Self-attested copy of the PAN card;
- (ii) Certification of registration issued by IRDA

- (iii) Memorandum and Articles of Association
- (iv) Power of Attorney
- (iv) Resolution authorising investment and containing operating instructions
- (v) Specimen signatures of authorised signatories.

➤ **Applications by Provident Funds, Pension Funds (Page No 49 Trench 1)**

The application must be accompanied by certified true copies of:

- (i) Any Act/Rules under which they are incorporated;
- (ii) Power of Attorney, if any, in favor of one or more trustees thereof,
- (iii) Board Resolution authorizing investments;
- (iv) such other documents evidencing registration thereof under applicable statutory/regulatory requirements;
- (v) Specimen signature of authorized person;
- (vi) certified copy of the registered instrument for creation of such fund/trust;
- (vii) Tax Exemption certificate issued by Income Tax Authorities, if exempt from Tax

➤ **Applications by National Investment Funds**

The application must be accompanied by certified true copies of:

- (i) Self-attested copy of the PAN card;.
- (ii) a resolution authorising investment and containing operating instructions;
- (iii) specimen signatures of authorized persons.

➤ **Companies & bodies corporate (Page No 49 Trench 1)**

The application must be accompanied by certified true copies of:

- (i) Self-attested copy of the PAN card;.
- (ii) Certificate of incorporation
- (iii) Board Resolution authorising investments
- (iv) Specimen signature of authorized person

➤ **Applications under Power of Attorney (Page No 51 Trench 1)**

In case of Investments made pursuant to a power of attorney by Category I & Category II ,

- (i) Self-attested copy of the PAN card;
- (ii) A certified copy of the power of attorney or the relevant resolution or authority,
- (iii) A certified copy of the memorandum of association and articles of association,

In case of Investments made pursuant to a power of attorney Category III & Category IV ,

- (i) Self-attested copy of the PAN card;
- (ii) A certified copy of the power of attorney must be lodged along with the Application Form.

Application by Minors with a Guardian

- (i) Self Attested Copy o Pan card (Minor)
- (ii) Pan Card copy of Guardian

Application in Physical Form

- (a) Self-attested copy of the PAN card;
- (b) Self-attested copy of your proof of residence. Any of the following documents shall be considered as a verifiable proof of residence:

1. ration card issued by the Gol;or
2. valid driving license issued by any transport authority of the Republic of India; or
3. electricity bill (not older than three months); or
4. landline telephone bill (not older than three months); or
5. valid passport issued by the Gol; or
6. voter's identity card issued by the Gol; or
7. passbook or latest bank statement issued by a bank operating in India; or
8. registered leave and license agreement or agreement for sale or rent agreement or flat maintenance bill;
9. Aadhaar Letter issued by Unique Identification Authority of India (UIDAI).

- (c) Self-attested copy of a cancelled cheque of the bank account to which the amounts pertaining to payment of refunds, interest and redemption, as applicable, should be credited.